

# **Comparison of Generality Based Algorithm Variants for Automatic Taxonomy Generation**

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# Comparison of generality based algorithm variants for automatic taxonomy generation

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## Abstract

*We compare a family of algorithms for the automatic generation of taxonomies by adapting the Heymann algorithm in various ways. The core algorithm determines the generality of terms and iteratively inserts them in a growing taxonomy. Variants of the algorithm are created by altering the way and the frequency, generality of terms is calculated. We analyse the performance and the complexity of the variants combined with a systematic threshold evaluation on a set of seven manually created benchmark sets. As a result, betweenness centrality calculated on unweighted similarity graphs often performs best but requires threshold fine-tuning and is computationally more expensive than closeness centrality. Finally, we show how an entropy-based filter can lead to more precise taxonomies.*

## 1. Introduction

Taxonomies for scientific research bodies facilitate the organisation of knowledge. They are used in Information Retrieval and Text Mining where it is beneficial to abstract from plain words to hierarchical concepts, which allows to structure document databases semantically. Immediate applications are Ontology based searching [10], a successfully applied search engine for biomedical literature [4] and emerging trend detection [3].

Manual taxonomy construction is accurate but is unsuitable for many resources that contain vast amounts of text documents. Further, it is desirable to deterministically and objectively develop taxonomies in order to provide consis-

tent maintenance, which is not guaranteed with nondeterministic algorithms or subjective curators.

To extract subsumption (taxonomic) relationships from text, there are two classes of approaches described in the literature: syntactic patterns such as 'A' is a 'B' ([8]) and statistical methods (e.g. [13]). Both classes rely on the distributional hypothesis introduced by Harris [7], which defines that two words which appear in many similar linguistic contexts are semantically similar. A promising approach among the latter class is the algorithm developed in [9] which is simple, fast and extensible, and hence can include ideas from various approaches. Although it was originally designed for tagging systems in social web communities, it can be adapted to general literature databases using co-occurrence of terms as the base for expressing term similarity. In [18] it was shown that by utilising the co-occurrence frequencies between a collection of representative keywords, it is possible to infer the overall taxonomy of a given domain of research. A similar approach is presented by [12], where the authors propose a subsumption criterion for terms based on conditional probabilities for their co-occurrences. Other term distance measures employed are citation based, collaboration pattern based as well as more elaborate techniques of context similarity.

The remainder of this document is organised as follows: we elucidate several techniques originated from the Heymann algorithm, including generality ordering methods, various distance measures, weighting schemes and reranking. The algorithms are systematically compared using seven benchmarks derived from a manually created ontology of medical terms. Finally we show, how cautious insertion into a taxonomy can improve the precision without

worsening the F-measure.

## 2. Systematic comparison of algorithms

### 2.1. Creation of MeSH benchmark sets

Quality assessment of taxonomy generation methods is preferably carried out using gold standard taxonomies. Medical Subject Headings (MeSH) is a man-curated ontology for medical terms [14]. It is well suited as a benchmark to test the ability of an algorithm to reproduce a gold standard. We focus on several diverse branches in order to avoid over-fitting. For the automatic comparison of a manually and automatically generated taxonomies, the input terms are taken from the MeSH benchmarks. This poses a simplification of the overall taxonomy creation, where terms are selected using various methods (see e.g.[5, 1])

We then measure the precision by counting how many direct links of the original taxonomy are reproduced by the algorithm. Further we consider those links that are not only direct parent-child related but also grandchildren or great-grandchildren (upper part in Fig. 2) in the original benchmark.

Occurrences are detected in the abstracts of 18 Million articles from Pubmed (a literature database for the life sciences), using stemming and term alignment ([4]).

### 2.2. Heymann-Algorithm

The taxonomy creation algorithm presented in [9] (Heymann-Algorithm) was originally intended for social networks where users annotate documents or images with keywords. The algorithm is fast, deterministic and easily extensible. Each keyword or “tag” is associated with a vector that contains the frequencies of annotations for all documents. These tag vectors are then comparable, e.g. using cosine similarity. We adapt the algorithm to general taxonomy creation from scientific literature using binary tag vectors.

The algorithm consists of two stages: the first creates a similarity graph of tags, from which an order of centrality for the tags is derived. Obeying this order and starting from the most general tag, the tags are inserted to a growing taxonomy by attaching tags to either the most similar tag or to the taxonomy root.

Two thresholds are used in the algorithm: first, the value above which an edge is permitted to the similarity graph ( $\tau_S$ ) filters very small similarities that might have occurred by chance during the generality calculation. Second, the similarity above which a node is attached to its most similar non-root node rather than the root ( $\tau_R$ ) influences the topology of the taxonomy. An example of a generated taxonomy is shown in Figure 1.

## 2.3. Algorithm modifications

### 2.3.1 Term generality derived from centrality in similarity graphs

A set of  $n$  terms gives rise to a similarity graph  $G = (V, E)$  where the nodes  $V$  represent terms and the edges  $E$  are similarities as provided by the similarity measure, see section 2.3.2. Generality can be deducted from a terms’ centrality in such a similarity graph. A variety of centrality measures exists. Amongst them betweenness and closeness centrality are elaborate, global measures and therefore subject to further scrutiny.

**Betweenness centrality**  $c_B$  for a node  $v$  is defined as:

$$c_B(v) = \sum_{\substack{v \in V \setminus \{s, t\} \\ s \neq t}} \frac{\sigma_{st}(v)}{\sigma_{st}} \quad (1)$$

where  $\sigma_{st}$  is the number of shortest paths from  $s$  to  $t$ , and  $\sigma_{st}(v)$  is the number of shortest paths from  $s$  to  $t$  that pass through a vertex  $v$ . The complexity of the betweenness centrality is  $O(n^3)$ . A fast algorithm for unweighted graphs of complexity  $O(ne)$  ( $e$  is the number of edges, which could be  $O(n^2)$  in fully connected graphs, but can be less in other graph types) is given in [2] and implemented e.g. in [6], which we use in our benchmark system.

**Closeness centrality**  $c_C$  for a node  $v$  is given as :

$$c_C(v) = \frac{1}{\sum_{t \in V \setminus \{v\}} 1 - \text{sim}(v, t)}. \quad (2)$$

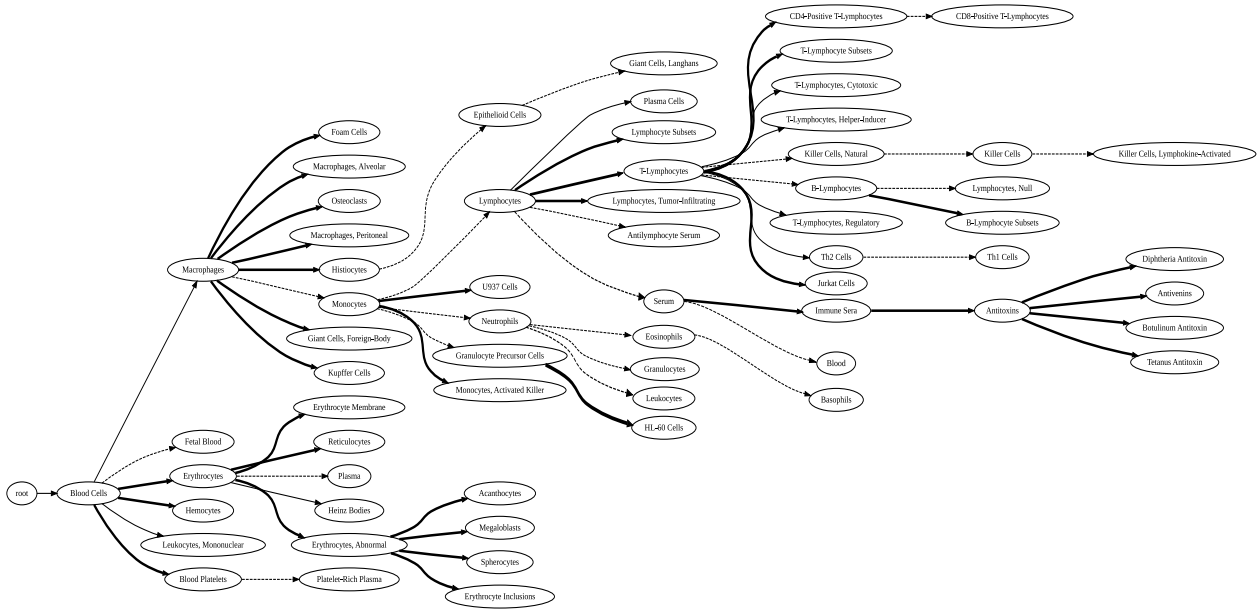
with  $\text{sim}(v, t)$  being the similarity between nodes  $t$  and  $v$ . The complexity is  $O(n^2)$ .

**Considering graph-theoretical aspects: Edge weights and disconnected graphs** Betweenness and closeness centrality can be calculated using weighted or unweighted graphs. We investigate both types.

Figure 2 compares the precision of the Heymann-Algorithm variants with several centrality calculations in dependence of  $\tau_S$ . Various values for  $\tau_R$  are probed (see Supplementary Material) but are of less influence.

### 2.3.2 Vector based term similarity

Originally Heymann et. al used vectors  $\mathbf{x}_t = [x_1, \dots, x_N]$  of length equal to the number of documents  $N$ , where  $x_i$  describes, how many times a numbered document  $i$  in a user community has been annotated with term  $t$ . We adapt this to binary term-vectors (or set representations) indicating whether a term occurs in a document (1) or not (0). Standard cosine vector similarity is therefore applicable.



**Figure 1. A generated taxonomy for “Blood”. The fat links are correct wrt. the MeSH benchmark, semi-fat links are in grand- or great-grandchild relation in MeSH.**

### 2.3.3 Reranking

A further modification to the Heymann algorithm is the intermediate reranking of the remaining terms wrt. their centrality after inserting a term to the taxonomy. Note, that this step increases the algorithm complexity since the centrality calculation is run for every inserted term ( $O(n^3)$  and  $O(n^4)$  for closeness and betweenness centrality, resp.).

### 2.3.4 Entropy of similarities

The basic Heymann algorithm attaches nodes to the most similar node in the growing taxonomy. Often terms, in particular non-specific or ambiguous terms, exhibit similarities to many subjects. The Entropy  $E_S$ , given in equation 3, is an information theoretical concept that can be used to quantify that intuition and hence accounts for the uncertainty of adding a node. This edge annotation can later be used for quality assessment and semi-automatic curation.

$$E_S(j) = - \sum_{i \in T} s_{ij} \log_b s_{ij} \text{ for } s_{ij} > 0 \quad (3)$$

where  $s_{ij}$  are the similarities of the node to be inserted  $j$  and the nodes  $i$  that are already in the taxonomy  $T$ . Similarities are normalised such that their sum yields 1. Thus a node  $j_0$  being similar to exactly one node but having 0 similarity to

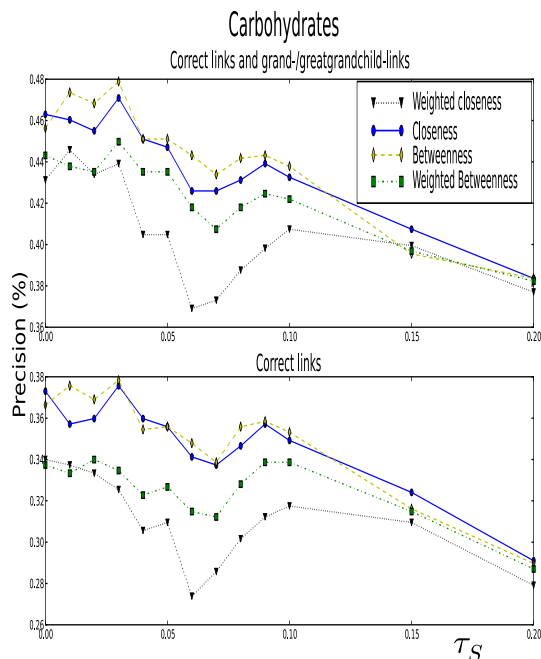
all other nodes leads to a minimal entropy of 0, whereas a maximal entropy of 1 is reached when all nodes are equally similar to the node to be inserted.

## 3. Results

### 3.1. Term generality and systematic threshold evaluation

The benchmark sets were scrutinised with respect to algorithm variants (centrality, rooting threshold  $\tau_R$ , similarity graph threshold  $\tau_S$ ). One example is given in Figure 2. It shows that unweighted closeness and betweenness centrality yield the best results for  $0 < \tau_S \leq 0.1$ . This finding was consistent with most benchmarks. Exceptions occurred for the “Blood” and “Cardiovascular system” benchmarks, where single peaks of weighted closeness scored highest (Supplementary material).

The threshold for attaching a term to the root  $\tau_R$  has been systematically probed in the range of  $0 - 0.06$  with step-size 0.005 and best results were consistently achieved with a very small value, i.e. avoiding node-attachments to the root as much as possible. Note that a histogram of all similarities revealed that most similarities are below 0.01.



**Figure 2. Precision curves for centrality variants for the MeSH-benchmark “Carbohydrates”.**

### 3.2. Intermediate reranking of term generality

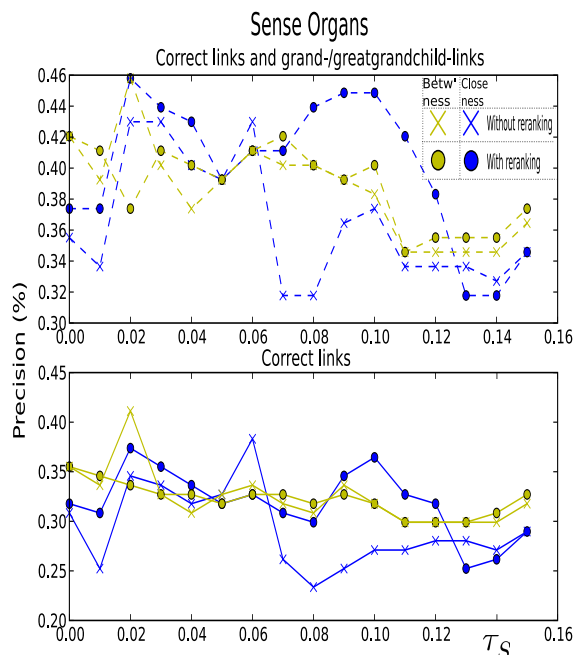
Depending on the similarity graph threshold  $\tau_S$ , the intermediate reranking improves precision in 46% of the cases, decreases precision in 23% and achieves equal precision in 30% of the cases.

### 3.3. Entropy based filtering improves precision

According to [17], taxonomy generation algorithms usually achieve only 40-50% precision on general benchmarks. Velardi et al. therefore suggests in [16] to follow a semi-automatic approach including systematic human validation steps. As a basis for hand-curated taxonomies, precision becomes paramount when automatically generating draft taxonomies. We therefore monitor the F-measure, which trades off precision vs. recall and is frequently used in information theory to evaluate performance based on a single number [15].

$$F_\beta = (1 + \beta^2) \cdot \frac{(\text{precision} \cdot \text{recall})}{\beta^2 \cdot \text{precision} + \text{recall}} \quad (4)$$

In order to appreciate precision, the  $F_{0.5}$ -measure for example values precision twice as important as recall. Omit-



**Figure 3. Benchmark: Sense Organs, with and without generality reranking**

ting links comes to the expense of decreasing the recall. Yet, we argue that the omissions are justified as long as the F-measure improves.

By filtering high entropy links with  $E_S > 0.7$ , precision increases most notably for benchmark “Blood” (from 60% to 81%), “Carbohydrates” (from 38% to 43%) and “Fungi” (from 31% to 39%), see Figure 4.

The precision of all other benchmarks improves as well, but to a smaller extent. Larger margins are possible with other thresholds but might yield in over-fitting to the given benchmarks.

## 4. Conclusion

Unweighted betweenness centrality generally performs best but often only marginally better than the faster unweighted closeness centrality. Neither method strictly dominates the other and both are dependent on fine-tuning of the similarity graph threshold. A good choice for  $\tau_S$  is not obvious but should be a value between 0 and 0.1. Both methods are complementary in the sense that their highest scoring taxonomies are not identical. A consensus-based meta-algorithm can benefit from this fact by only including the links both methods agree on.

Using weighted similarity graphs rarely improved the performance and hence did not justify the higher compu-

tational cost. Moreover, they fluctuate stronger wrt.  $\tau_S$ .

Reranking the centrality often improves the algorithm performance but increases the computational expense. Finally the proposed entropy-based filter for edges allows to shift focus towards more precise (but less complete) taxonomies which arguably facilitates manual post-processing.

Co-occurrence based similarity measures of terms are easily extractable from literature databases and can provide a scaffold for taxonomy creation. However, they also limit the success of taxonomy creation when dealing with semantically related terms that can not be ordered by generality: High-level terms such as “wind power” or “solar energy”, or terms that somehow interact (e.g., “hammer” and “nail”) frequently co-occur and hence exhibit a misleadingly high co-occurrence similarity. Yet neither are subsumable in the strict sense (“is-a” or “part-of” relations) of standard taxonomies. As a result, the semantics of taxonomy sub- and superconcepts merely allows the interpretation as “is-related-to” relation. Such a property is not transitive and hence less useful for purposes, where complete semantic subtrees of the taxonomy are required. As a remedy, it would be beneficial to incorporate more sophisticated similarity and generality measures using Natural language processing techniques as proposed in [11]. To this end it seems most promising to devise a meta-algorithm, for which the Heymann algorithm is a suitable platform.

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## Improving precision by filtering high entropy links

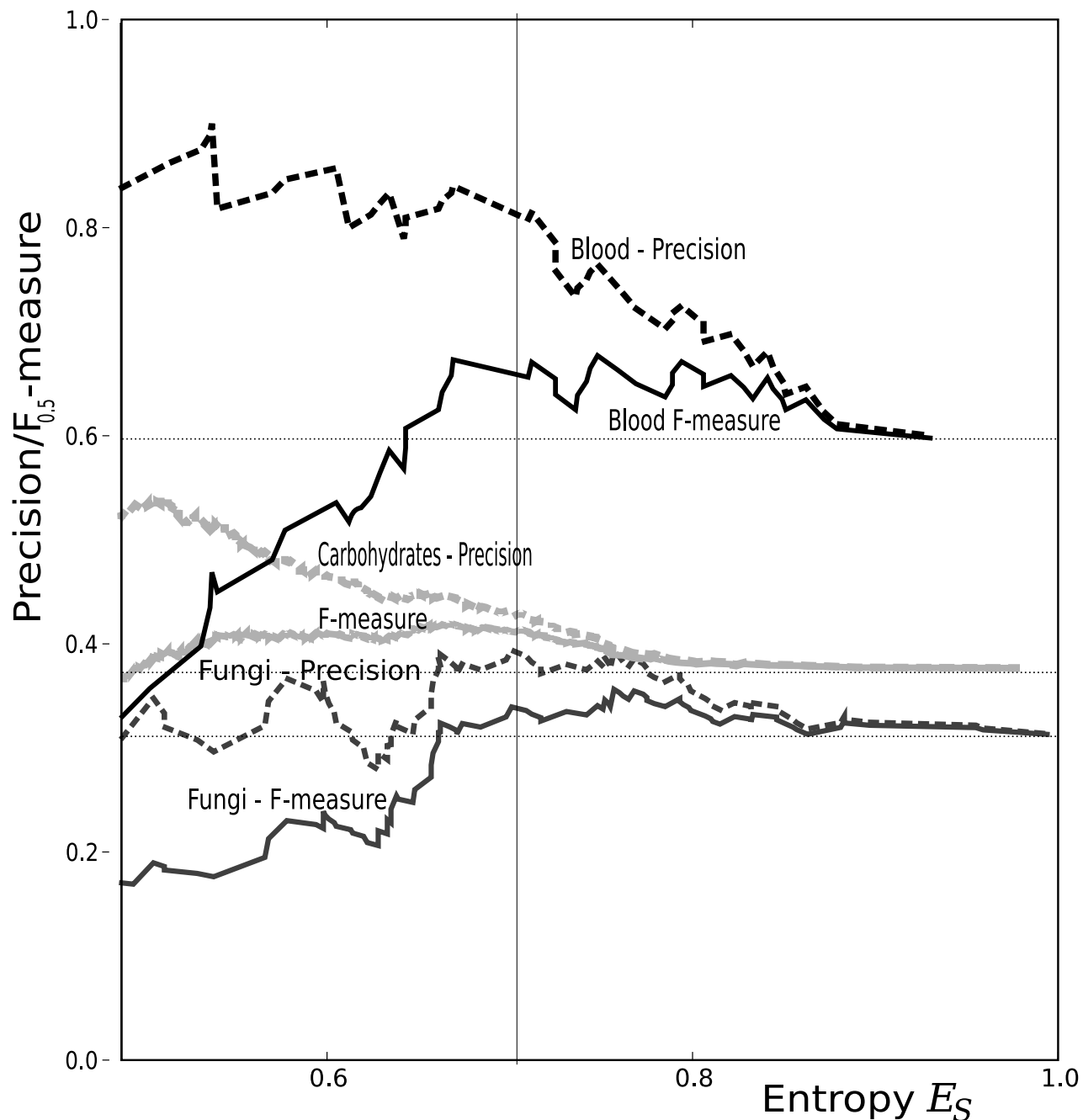


Figure 4. The figure shows the  $F_{0.5}$ -measure (solid) and the precision (dashed lines) for three MeSH benchmarks. Higher entropy of similarities expresses lower confidence in a taxonomy-link. Not filtering by entropy at all yield in precision and F-measure equal to the rightmost data point of each curve, indicated by horizontal dotted lines. The figure shows that indeed high entropy links are often wrong and precision decreases for all benchmark sets. Therefore, by filtering these low confidence links, the algorithm improves in terms of precision, while maintaining or slightly improving the F-measure. Any threshold above 0.7 increases precision without worsening the F-measure.