

Phase separation in solutions of monoclonal antibodies and the effect of human serum albumin

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We report the observation of liquid-liquid phase separation in a solution of human monoclonal antibody, IgG2, and the effects of human serum albumin, a major blood protein, on this phase separation. We find a significant reduction of phase separation temperature in the presence of albumin, and a preferential partitioning of the albumin into the antibody-rich phase. We provide a general thermodynamic analysis of the antibody-albumin mixture phase diagram and relate its features to the magnitude of the effective interprotein interactions. Our analysis suggests that additives (HSA in this report), which have moderate attraction with antibody molecules, may be used to forestall undesirable protein condensation in antibody solutions. Our findings are relevant to understanding the stability of pharmaceutical solutions of antibodies and the mechanisms of cryoglobulinemia.

biopharmaceuticals | coexistence curve | critical point | cryoprecipitation | immunoglobulin

Antibodies are widely used in research and biotechnology, as well as in medical and pharmaceutical applications. In some cases, concentrated solutions of specific antibodies are required. In particular, monoclonal antibodies (MAb) have become a major category of drugs in the treatment of a variety of diseases (1). In some drug delivery routes e.g., subcutaneous administration, formulations with concentrated antibody solutions are required to achieve therapeutic dosing (2).

The physiological functions of antibodies are mostly determined by antibody-antigen and antibody-receptor specific interactions. However, the nonspecific interactions between antibodies (i.e., self-association) in the concentrated antibody solutions can also affect their functions. Nonspecific attractive interactions can cause various forms of condensation, including liquid-liquid phase separation, aggregation, and crystallization. Upon such condensation, antibodies lose their solubility, and may lose their biological activity. Particularly, in pharmaceutical industry, these processes impact storage stability and safety of protein therapeutics thus impeding drug development (3). For example, immunogenicity of some biologics has been attributed to formation of protein aggregates (4). The mechanisms of protein condensation are complex and depend on protein concentration, buffer composition, temperature, etc. Clearly, the factors which affect protein condensation throughout the shelf-life must be understood and controlled to ensure biotherapeutic effectiveness.

One important condensation process is liquid-liquid phase separation (LLPS). In LLPS, a homogeneous protein solution spontaneously separates into two coexisting phases with different protein concentrations. This phenomenon takes place upon changing the temperature or other solution conditions, and is reversible. In contrast to aggregation or crystallization, LLPS, while highly sensitive to the average “net” attractive interaction between proteins, are much less sensitive to the distribution pattern and the nature of the “local” interactions on the protein surface. As a result, LLPS exhibits universal features applicable to a variety of proteins. LLPS is often superseded by aggregation, gelation, or crystallization. In such cases, LLPS can still be impor-

tant as an underlying metastable phase transition, which substantially affects kinetics of these other condensation processes.

Recently, LLPS of several pharmaceutical antibodies have been reported (5–9). There are five isotypes of mammalian antibodies with distinct *Fc* regions, including IgA, IgD, IgE, IgG, and IgM. For each isotype, there are also large numbers of idiotypes with different *Fab* regions. Due to this great variety of antibodies, their condensation may occur at noticeably different conditions. As a cooperative phenomenon, LLPS is sensitive to rather small changes in the average interprotein interaction, and thereby can provide a useful tool to evaluate the propensities of different antibodies to condense.

High concentrations of both monoclonal and polyclonal antibodies also occur in the blood of patients with immunoproliferative disorders associated with a number of diseases, such as: multiple myeloma, hepatitis C, and HIV. In these cases, excessive endogenous antibodies (mainly IgG, IgM, and their mixtures) precipitate in blood at temperatures lower than 37 °C. This medical phenomenon is called cryoglobulinemia (10–12). Sometimes, intravascular condensation of antibodies can even occur at body temperature and have adverse physiological consequences such as auto immunogenicity, increase in blood viscosity, and deposition in blood vessels. The cryoglobulinemia is reversible upon raising the temperature, and antibodies may maintain their ability to bind to antigen. These characteristics are consistent with LLPS.

In order to investigate the propensity of antibodies to undergo protein condensation *in vivo*, both in the case of cryoglobulinemia and in the pharmaceutical applications, the solution conditions of blood serum must be taken into account. Here we report the study of the LLPS of a monoclonal human antibody, which is denoted by IgG2-A as in ref. 5, under solution conditions mimicking those in a blood serum. Specifically, we investigated LLPS at physiological pH (pH = 7.4) in the presence of human serum albumin (HSA), which is the major protein component in blood serum.

The solution conditions, such as protein concentration, composition, temperature, buffer properties, etc., under which LLPS occurs are represented by a phase diagram. The phase diagram may be viewed as a collection of coexistence curves which represent the dependence of phase separation temperature on the protein concentration at various conditions. In this work, we have determined the coexistence curves of a MAb solution in the presence of various concentrations of HSA. Here we show that the MAb solutions have much lower critical concentration and much wider coexistence curve as compared to solution of compact globular proteins. We ascribe this difference to extended Y-like shape of MAb molecules. Further, we find that HSA preferentially partitions into protein-rich phase and lowers phase

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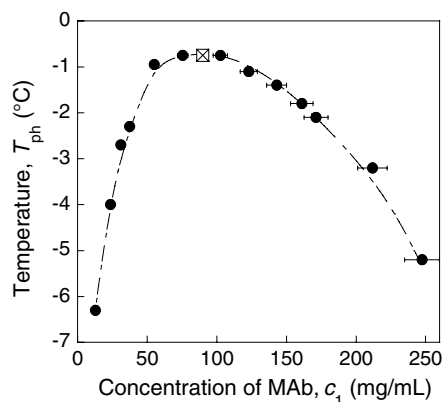


Fig. 1. Liquid-liquid phase separation of MAb solutions in 0.1 M Tris-HCl buffer at pH 7.4. The eye guide for the LLPS boundary is indicated by the dashed line. The crossed square is the critical point determined at the maximum of the phase boundary.

separation temperature. Finally, we present the theoretical analysis of these phenomena, show that they imply an attractive interaction between MAb and HSA, and evaluate the magnitude of this interaction.

Results

The Coexistence Curve of MAb-Water Binary Solution at Physiological pH. We have measured the temperature for phase separation, T_{ph} , of our MAb as a function of antibody concentration, c_1 , and obtained the coexistence curve shown in Fig. 1. In a binary solution, the maximum temperature occurs at the critical point. Thus, in Fig. 1 we observe that the critical temperature, T_c , is equal to $-0.6 \pm 0.1^\circ\text{C}$, and the critical concentration, c_c , is $90 \pm 9 \text{ mg/mL}$. For temperatures greater than the critical temperature, the MAb solution remains in a stable homogeneous phase for all concentrations. For temperatures below T_c , the coexistence curve specifies the concentrations of the two coexisting liquid phases corresponding to that temperature.

Using the value of 0.71 mL/g for the protein specific volume (13), we find that the critical concentration corresponds to a critical volume fraction of 6.3%. This value is quite small considering that in solutions of spherical particles the critical volume fraction varies from 13% to 23% as the spatial range of the interparticle interaction varies from infinity to zero (14). The small value of the critical volume fraction of MAb reflects the extended, highly nonspherical shape of antibody molecules. This value implies that the volume of a spherical particle, which matches the observed critical concentration, is at least twice as large as the actual volume of the antibody molecule.

The phenomenon of separation into coexisting liquid phases signifies attractive interactions between the antibody molecules (14). Such attractive interactions can also lead to crystallization and aggregation of the antibody molecules. All these condensation phenomena of pharmaceutical or endogenous antibodies can have serious pathophysiological consequences *in vivo* such as immunogenicity, increase in blood viscosity, and deposition in blood vessels. From this perspective it is important to investigate how the condensation of antibodies can be affected by other components of blood serum.

Table 1. The rate of change of the phase separation temperature, T_{ph} , with HSA concentration, c_2 , at a fixed MAb concentration c_1

Measurement	1	2	3	4	5
c_1 (mg/mL)	37	54	98	114	140
$(\partial T_{ph}/\partial c_2)_{c_1}$	-0.22	-0.27	-0.22	-0.24	-0.26
($^\circ\text{C} \cdot \text{mL}/\text{mg}$)	± 0.03	± 0.03	± 0.03	± 0.03	± 0.03

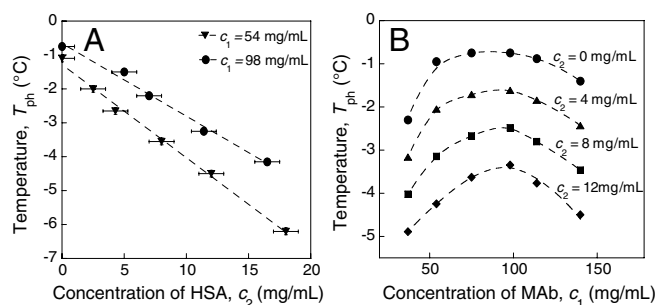


Fig. 2. (A) Decrease of LLPS temperature, T_{ph} , vs. the HSA concentration, c_2 , at fixed MAb concentration, c_1 . Linear fitting of T_{ph} vs c_2 at each c_1 is shown by the dashed line. (B) LLPS boundaries at fixed c_2 shift to lower temperature as HSA concentration, c_2 , increases (The data were obtained by interpolation of the data in *SI Appendix*; Fig. S1).

Liquid-Liquid Phase Separation of MAB-HSA-Water Ternary Solutions.

In view of the fact that HSA is a major component of blood serum, we have measured the effect of HSA on the phase separation of MAb in aqueous solutions at physiological pH. We find that, regardless of MAb concentration, the addition of HSA lowers the phase separation temperature in direct proportion to the HSA concentration, c_2 . We list in Table 1 the values of $(\partial T_{ph}/\partial c_2)_{c_1}$. We see that this derivative is approximately independent of c_1 , and has the average value: $-0.24 \pm 0.03^\circ\text{C} \cdot \text{mL}/\text{mg}$. Therefore, at the typical concentration of HSA in blood of $\sim 40 \text{ mg/mL}$ (15), HSA reduces the phase separation temperature by $\sim 9^\circ\text{C}$. Thus, HSA may have a significant role in preventing condensation of antibodies in blood at body temperature.

The values in Table 1 were found by measuring the decrease in phase separation temperature upon adding HSA at fixed MAb concentration. T_{ph} decreases linearly with increase of c_2 as shown in Fig. 2A at two representative values of c_1 . (See Fig. S1 in *SI Appendix* for the entire dataset). In Fig. 2B we plot the coexistence curves (T_{ph} , c_1) at several values of HSA concentration, c_2 . We see that the entire coexistence curve shifts downwards as c_2 increases. Fig. 2A and B represent two cross sections of a phase diagram, which describes the solution conditions required for LLPS of MAb in the presence of HSA. Due to the diversity of antibodies, the critical temperatures, T_c , of different antibodies may vary widely. Indeed, in the case of cryoglobulinemia, antibodies form condensates even at body temperature. Thus, the phase diagram can become a clinically important representation of the conditions under which pathophysiological protein condensation can occur in blood.

In LLPS, the concentrations of MAb as well as the concentrations of HSA are different in the two coexisting phases. The actual partitioning of these two proteins depends on the magnitude and the sign of the interprotein interactions between pairs of MAb-MAb, MAb-HSA and HSA-HSA. These interprotein interactions are also responsible for the suppression of LLPS temperature of MAb solutions upon the addition of HSA. Therefore, it is important to measure quantitatively the actual compositions of MAb and HSA in the two coexisting phases.

Partitioning of MAb and HSA in the Coexisting Phases. At any fixed temperature beneath T_c , the concentration of each protein in each coexisting phase depends upon the initial concentrations of the two proteins in the starting solution. We measured the concentrations of MAb and HSA in pairs of coexisting phases at fixed temperature. In Fig. 3 we present our results for two different temperatures. In Fig. 3, each pair of two data points representing the two coexisting phases are connected by a so-called "tie-line." Fig. 3 shows that the concentration of HSA in the MAb-rich phase is higher than that in the MAb-poor phase, i.e., HSA preferentially partition into the protein-rich phase. This observation implies that the interprotein interaction between

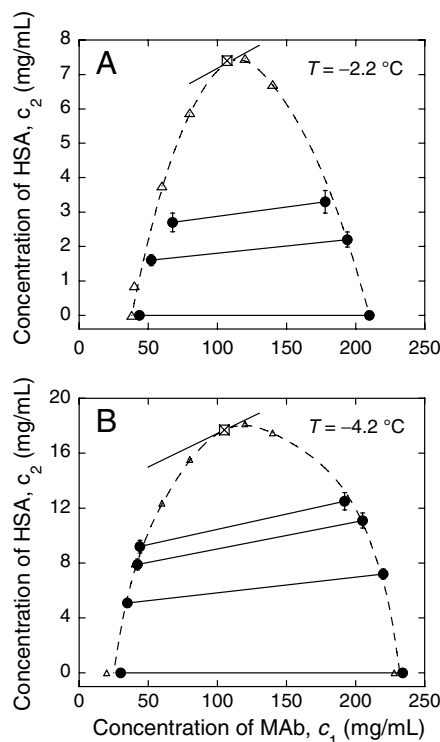


Fig. 3. Partitioning of MAb and HSA upon LLPS at fixed temperature (A) $T = -2.2^\circ\text{C}$; and (B) $T = -4.2^\circ\text{C}$. The points representing the two coexisting phases are connected by the solid lines, i.e., the tie lines. Dashed lines are eye guides for the binodal curves fitted from both cloud-point measurements (open triangles) and partitioning measurements (solid circles). The critical points are represented by the crossed square.

MAb and HSA is attractive. In part this attraction may be attributed to the electrostatic interaction between MAb and HSA. Indeed, the isoelectric point of MAb is $pI = 8.8$ and that of HSA is $pI = 5.7$ (calculated using www.expasy.org). Thus, at the physiological pH 7.4, MAb and HSA carry charges of opposite sign.

In Fig. 3, we also designate the binodal curve (c_1, c_2) at constant temperature by fitting data from both partitioning measurement and T_{ph} measurement. Using the method of analysis described previously (16), we also estimated the positions of the critical points of the MAb-HSA-water ternary solution. In Fig. 3, we show that c_1 at the critical points are equal to 105 ± 10 mg/mL at both measured temperatures. The critical concentration of MAb in the ternary solution is equal to that found for the pure MAb solution, within experimental error.

In our samples, $\sim 80\%$ of MAb molecules have two pyroglutamate residues at the heavy chain N termini. In the remaining fraction, only one of the heavy chains has pyroglutamate, whereas the other chain has the original N-terminal glutamine. We denote these two species by the symbols ${}_{pEpE}\text{MAb}$ and ${}_{QpE}\text{MAb}$ respectively. These two species can be differentiated by CEX HPLC (5, 17). We have measured (Table S1 in *SI Appendix*) molar ratios of ${}_{QpE}\text{MAb}$ to ${}_{pEpE}\text{MAb}$, x , in both coexisting phases as well as in the original solutions. In the protein-poor phase, $x = 0.283 \pm 0.002$. In the protein-rich phase, $x = 0.312 \pm 0.004$. In the original solutions, $x = 0.302 \pm 0.001$. Table S1 shows that upon phase separation the relative proportion of ${}_{QpE}\text{MAb}$ to ${}_{pEpE}\text{MAb}$ is slightly but consistently increased in the protein-rich phase. The value of x in the two coexisting phases does not depend on HSA concentration within the experimental errors. Observation of the difference in the partitioning of ${}_{QpE}\text{MAb}$ and ${}_{pEpE}\text{MAb}$ signifies that alteration of a single amino acid residue could affect the interprotein interaction and thereby the phase behavior of the protein solution.

Quasielastic Light-Scattering (QLS) Study of the MAb-HSA Mixture Solution. We have measured the apparent diffusion coefficients, D , of protein molecules in pure MAb solutions and in MAb-HSA mixtures containing 30% (w/w) HSA, as a function of total protein concentration (Fig. S2 in *SI Appendix*). We deduced the apparent diffusion coefficient, D^0 , of proteins for infinitely dilute pure and mixed solutions. Using these D^0 s, we calculated that the apparent hydrodynamic radius, R_h^0 , of pure MAb solutions is equal to 5.9 ± 0.1 nm. Similarly, we have found the R_h^0 for pure HSA monomers to be equal to 4.1 ± 0.2 nm. In the MAb-HSA mixture, the apparent R_h^0 is equal to 5.7 ± 0.3 nm. This value indicates that no heterodimerization or other strong interactions between MAb and HSA takes place. The apparent diffusion coefficients decrease with the total protein concentration both in pure MAb solutions and in MAb-HSA mixtures. The negative value of dD/dc is indicative of attractive interactions. The value of the normalized slope, $d(D/D^0)/dc$, is less negative for the mixture than that for the pure MAb solution, which implies that HSA diminishes the effective interprotein attraction. This observation is in accord with the suppression of LLPS upon the addition of HSA.

Discussion

In this work, we report the observation of LLPS of an IgG2 monoclonal antibody at physiological pH, as well as in the presence of human serum albumin. While LLPS in solutions of globular proteins is well documented (16, 18–21), it is often preempted by aggregation or crystallization. Recently, reports have appeared of such LLPS in solutions of antibodies (5–9, 22). Antibodies can be present in blood at relatively high concentrations. Furthermore, antibodies are widely and increasingly used in concentrated solutions as pharmaceutical drugs. In view of these facts, it is very important to quantitatively investigate phase separation phenomena for these proteins. Indeed the loss of homogeneity due to the formation of droplets of condensed phases can have adverse effects both physiologically, and in the manufacturing and storage of MAb-based therapeutics.

Phase Diagram of MAb Aqueous Solutions. As is the case with other proteins, the MAb phase diagram provides a comprehensive delineation of the solution conditions under which phase separation can occur. Theoretical analysis of this diagram can provide insights into the intermolecular interactions responsible for the condensation of the protein. In previous studies of globular proteins, the main features of the coexistence curve such as the critical temperature, critical concentration, and the width of the coexistence curve were successfully explained in terms of the effective magnitude, range and anisotropy of the interprotein interactions (14, 23). However, these previous theoretical studies were predicated on the model of proteins as spherical particles (14, 23). We shall see here that such theories may have limited applicability to the phase behavior of Y-shaped antibody molecules.

Indeed, one of the striking features of the coexistence curve of pure MAb is the very small value of the critical concentration, c_c , or critical volume fraction, $\phi_c = c_c v_{sp}$, where $v_{sp} = 0.71$ mL/g is the specific volume of protein molecules (13). In Table 2, we compare the critical volume fractions found theoretically for spherical particles in the limit of very short and very long range of attraction, as well as the experimentally observed critical volume fractions for various proteins. We believe that the small critical volume fraction of antibodies is a consequence of its extended, Y-like shape.

In Fig. 4, we show several coexistence curves plotted using the scaled variables T/T_c and c/c_c . Curve 1 shows the mean-field prediction for a solution of attractive hard spheres with a Carnahan-Starling approximation for the entropy. We may quantify the width, w , of each coexistence curve by fitting the curve in the

Table 2. Theoretical and experimental values of the critical volume fraction, ϕ_c

	ϕ_c
Spherical particles with very <i>long</i> range of interactions	0.13*
Spherical particles with very <i>short</i> range of interactions	0.27*
Human lens γ D crystallin	0.13 [†]
Chicken egg white lysozyme	0.16 [‡]
Bovine lens γ crystallins (including γ B, γ C, γ D, γ E)	0.21 [§]
Immunoglobulins: (IgG2-A, IgG2 ^{††} , IgG1)	0.063

The values are taken from refs. 14, 16, 24, and 18. The value listed for (IgG2-A) is taken from Fig. 1 and is consistent with data reported for other immunoglobulins in refs. 6 and 7.

*The values are taken from ref. 14.

[†]ref. 16.

[‡]ref. 24.

[§]ref. 18.

^{||}ref. 6.

^{||}ref. 7.

neighborhood of the critical point using a phenomenological asymptotic expression: $[(c - c_c)/c_c]^2 = w(T_c - T_{ph})/T_c$. For curve 1, the width is $w = 6.15$. Curve 2 shows the theoretical fit (20) of the data for bovine γ B crystallin taken from ref. 18. Because of the short range and highly anisotropic (aeolotropic) interactions (14, 23), this coexistence curve has width $w = 27$ which is much wider than curve 1. The data points shown for other nearly spherical proteins follow coexistence curves similar to curve 2 (16, 24). Interestingly, the coexistence curve for our MAb (curve 3) is even wider than that observed for nearly spherical proteins, and is asymmetrical: being much wider on the high concentration side than on the low concentration side. For curve 3, $w = 120$. Coexistence curves of other reported MAb's also share similar broad and asymmetrical shapes (6, 7). We believe that these characteristics of the MAb coexistence curve result from the highly nonspherical Y-like antibody shape (25, 26), and possibly from its flexibility. Thus, it appears that currently used model free energies, which assume a spherical shape of protein molecules, have limited applicability in the description of the thermodynamic properties of solutions of antibody.

Phase Diagrams of MAb-HSA Aqueous Solutions. There are two important features of the phase diagrams of MAb-HSA-water ternary solutions: the reduction of T_{ph} upon addition of HSA

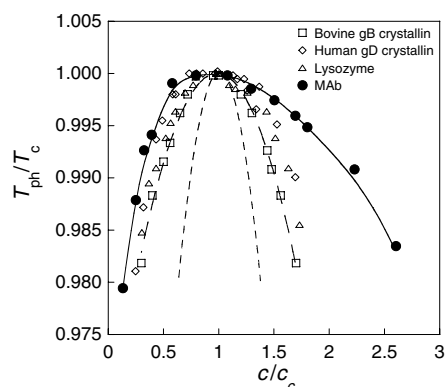


Fig. 4. Coexistence curves in the units of scaled phase separation temperatures, T_{ph}/T_c , and the scaled protein concentrations, c/c_c . Curve 1 (short dashed line) shows the theoretical coexistence curve of spherical particles using mean-field approximation of attraction and Carnahan-Starling expression for entropy. Curve 2 (long dashed line) shows the theoretical fit for the data of bovine γ B crystallin (open squares) taken from (18). Curve 3 (solid line) shows the eye guide for the coexistence curve of MAb (solid circles). Data points on the coexistence curves of two other globular proteins (16, 24): human γ D crystallin (open diamonds) and chicken egg white lysozyme (open triangles) are also shown.

and the preferential partitioning of HSA into the concentrated MAb phase. In the following discussion, we will connect these two features to the “effective energies” of MAb-HSA interactions and to the excluded volume entropies for both of these molecules. In the limit of a small mole fraction of HSA, $x = N_2/N_1 \ll 1$, where N_1 and N_2 are the numbers of molecules of MAb and HSA respectively, the HSA-HSA interaction is negligible. Hence, we may write the Helmholtz free energy as: $F = F^0 + N_2 kT \ln(\phi_2/\alpha) + N_2 E_{12}$. Here, $F^0(\phi_1, T)$ is the Helmholtz free energy of a pure MAb solution. The remaining terms, linear in N_2 , represent the entropic and energetic contributions of HSA. The entropic term is written as the entropy of an ideal solution of HSA in the volume, V_{eff} , accessible to it. Thus, the quantity $\alpha(\phi_1, T)$ represents the fraction of the total volume accessible to HSA, i.e., $\alpha = V_{eff}/V$. The energetic component, per HSA molecule, due to the MAb-HSA interaction is denoted as $E_{12}(\phi_1, T)$. In the high temperature approximation, both α and E_{12} are independent of T .

The Reduction of the Phase Separation Temperature of MAb Solutions in the Presence of HSA. We now examine the factors, which determine the change of T_{ph} in a MAb solution, ΔT_{ph} , upon addition of a small mole fraction, x , ($x \ll 1$) of HSA. Using the equilibrium condition, $\mu_1^I = \mu_1^{II}$, and general thermodynamic relations (27), we have derived ΔT_{ph} at constant volume fraction of MAb, ϕ_1 (see *SI Appendix*):

$$\Delta T_{ph} = \frac{dT_{ph}/d\phi_1}{\partial\Pi/\partial\phi_1} \left[-\frac{kT_{ph}(\phi_2^I/\phi_1^I - \phi_2^{II}/\phi_1^{II})}{\Omega_2(1/\phi_1^I - 1/\phi_1^{II})} + \left(\frac{\partial\Pi}{\partial\phi_2} \right)_{\phi_1, T} \phi_2 \right] \quad [1]$$

Here, T_{ph} is the phase separation temperature of the pure MAb solution, Π is the osmotic pressure of the solution, and Ω_2 is the volume of one HSA molecule. The slope of the coexistence curve of pure MAb solution, $dT_{ph}/d\phi_1$, is positive at ϕ_1 smaller than the critical volume fraction ϕ_c and is negative at ϕ_1 larger than ϕ_c . The osmotic incompressibility in a pure MAb solution, $\partial\Pi/\partial\phi_1$, is always positive in a stable phase. Because experimentally ΔT_{ph} is negative for all values of ϕ_1 , it follows that the bracketed term in Eq. 1 must have opposite signs on the two sides of the coexistence curve. The first term in the brackets describes the effect of HSA partitioning. The experimentally observed partitioning of HSA is small (Fig. 3): $\phi_2^I \approx \phi_2^{II}$. This observation is actually remarkable because the condensed phase has much less free volume, V_{eff} , to accommodate the HSA than has the dilute phase. When $\phi_2^I = \phi_2^{II}$ the partitioning term in Eq. 1 becomes equal to $-kT_{ph}\phi_2/\Omega_2$. The second term in the bracket characterizes the change of osmotic pressure upon addition of HSA. Using $\Pi = -(\partial F/\partial V)_{N_1, N_2, T}$, and our expression for the Helmholtz free energy, it follows that $(\partial\Pi/\partial\phi_2)_{\phi_1, T} = kT_{ph}/\Omega_2 + \phi_1(\partial(E_{12} - kT_{ph} \ln \alpha)/\partial\phi_1)/\Omega_2$. The first term here represents the ideal (van't Hoff) contribution to the osmotic incompressibility. Under the conditions of no partitioning ($\phi_2^I = \phi_2^{II}$), this contribution cancels the first term in the brackets in Eq. 1. This cancellation reflects the fact that adding an ideal, noninteracting solute should produce no change in the coexistence curve. The second term: $\phi_1(\partial(E_{12} - kT_{ph} \ln \alpha)/\partial\phi_1)$, represents the nonideal contribution of HSA to the osmotic incompressibility. This term involves two elements: the MAb-HSA interaction energy E_{12} and the excluded volume entropy $-kT_{ph} \ln \alpha$. Using a Monte Carlo simulation and a three-sphere model for the Y-shaped MAb molecule (see *SI Appendix*), we have evaluated the free volume fraction α as a function of ϕ_1 . A graph of $\partial(-\ln \alpha)/\partial\phi_1$ vs. ϕ_1 is shown in (Fig. S6 in *SI Appendix*). This excluded volume contribution is positive and monotonically increases with ϕ_1 . At the critical point, this derivative is equal to 10. Because $\phi_1(\partial(E_{12} - kT_{ph} \ln \alpha)/\partial\phi_1)$

changes sign at the critical point, it follows that there $\partial E_{12}/\partial\phi_1 = -10kT_{ph}$. The energy E_{12} is a smooth monotonic function of ϕ_1 , thus $\partial E_{12}/\partial\phi_1$ is not expected to vary dramatically. Indeed, in the mean-field approximation, $E_{12} = \epsilon_{12}\phi_1$, this derivative would be constant, $\epsilon_{12} = -10kT_{ph}$, over the entire range of ϕ_1 , and E_{12} will be $-1.4kT_c$ at the critical point. The negative value of this energy is consistent with an attractive MAb-HSA interaction. This significant attraction compensates for the low entropy of HSA in the protein-rich phase and produces the nearly equal values of HSA volume fractions in the two phases.

Partitioning of MAb and HSA. As has been seen above, the partitioning of HSA into the two coexisting phases is closely connected with the magnitude and sign of the change in T_{ph} (Eq. 1). The partitioning of HSA is controlled by its chemical potential: $\mu_2 = (\partial F/\partial N_2)_{N_1, V, T}$. Using the expression for Helmholtz free energy, we derived that: $\mu_2 = kT \ln(\phi_2/\alpha) + E_{12}$. The partitioning of HSA between the two phases, i.e., the relation between ϕ_2^I and ϕ_2^{II} , is determined by the equilibrium condition, $\mu_2^I = \mu_2^{II}$, which has the form:

$$kT_{ph} \ln(\phi_2^{II}/\phi_2^I) = E_{12}(\phi_1^{II}) - E_{12}(\phi_1^I). \quad [2]$$

This equation connects the ratio of HSA volume fractions in the two phases to the excluded volume entropies and the MAb-HSA interaction energies. With $\alpha(\phi_1)$ determined by Monte Carlo simulation, Eq. 2 provides an alternative way to evaluate E_{12} . Using the simulation results and the experimental data from five tie-lines (Fig. 3), we have deduced $\Delta E_{12} \equiv E_{12}(\phi_1^{II}) - E_{12}(\phi_1^I)$ and found that this quantity ranged from $-1.8kT_{ph}$ to $-1.1kT_{ph}$. The negative value of ΔE_{12} implies an attractive interaction between MAb and HSA. This attraction is the driving force for the partitioning of HSA. In the mean-field approximation, $E_{12} = \epsilon_{12}\phi_1$, then $\epsilon_{12} = \Delta E_{12}/(\phi_1^{II} - \phi_1^I)$ has a value ranging from $-14.0kT_{ph}$ to $-13.3kT_{ph}$. Considering the approximations involved, this result is quite consistent with the estimation above, $\epsilon_{12} = -10kT_{ph}$, based on the shift of the coexistence curve upon adding HSA.

Furthermore, it is interesting to examine the relative partitioning of ${}_{QpE}MAB$ and ${}_{pEpE}MAB$. ${}_{QpE}MAB$ and ${}_{pEpE}MAB$ are identical in shape and size and are different at only one amino acid position. For these “similar” proteins, the difference between the molar ratios of ${}_{QpE}MAB$ to ${}_{pEpE}MAB$, x , in the two coexisting phases, is solely determined, when x is small, by the difference between the energies of ${}_{QpE}MAB$ - ${}_{pEpE}MAB$ like-unlike interaction, E_{12} , and that of ${}_{pEpE}MAB$ - ${}_{pEpE}MAB$ like-like interaction, E_{11} , in these two phases. We have previously shown (16) that this relative partitioning of similar proteins can be described by: $kT_{ph}(\ln x^I - \ln x^{II}) = \Delta E_{12} - \Delta E_{11}$, where $\Delta E_{12} \equiv E_{12}(\phi_1^{II}) - E_{12}(\phi_1^I)$ and $\Delta E_{11} \equiv E_{11}(\phi_1^{II}) - E_{11}(\phi_1^I)$. The driving force for the relative partitioning of ${}_{QpE}MAB$ is: $\Delta E_{12} - \Delta E_{11}$. Using the experimental data in Table S1 in *SI Appendix*, we can deduce $\Delta E_{12} - \Delta E_{11} \approx -0.1kT$. The negative value of $\Delta E_{12} - \Delta E_{11}$ suggests that the ${}_{QpE}MAB$ - ${}_{pEpE}MAB$ attraction is stronger than the ${}_{pEpE}MAB$ - ${}_{pEpE}MAB$ attraction. The magnitude of ΔE_{12} is larger than that of ΔE_{11} by one tenth of the thermal energy kT . This change in interaction energy is caused by the alteration of a single amino acid residue. While the small difference between ΔE_{12} and ΔE_{11} is expected to have a small effect on the phase separation temperature, this difference produces an observable partitioning of these similar proteins. In vivo, as well as in biopharmaceutical production we frequently encounter mixtures of various antibody isoforms. The case of ${}_{QpE}MAB$ and ${}_{pEpE}MAB$ provides an important example of phase separation partitioning in such mixtures of closely related antibody variants.

In conclusion, we have observed LLPS of a monoclonal antibody, IgG2-A, at physiological pH. The phase diagram of our MAb solution is distinctly different from that of nearly spherical globular proteins. Our experiments, together with the data available for other antibodies (5–9), show that: the immunoglobulins have a markedly lower critical concentration and a much broader, asymmetric coexistence curve. We believe that these features are associated with the highly nonspherical shape of an IgG molecule, i.e., the Carnahan-Starling form for excluded volume entropy of hard spheres is unsuitable for thermodynamic analysis of antibody solutions. We have also examined the effect of HSA, the major protein component in blood, on the LLPS of MABs. We have found that the phase separation temperature decreases as HSA concentration increases. This result is remarkable as it implies that HSA may play a significant role in maintaining the stability of antibodies in blood. By applying a general thermodynamic analysis, we have attributed the reduction of phase separation temperature of MAB solutions in the presence of HSA to the attractive interaction between MAB and HSA. This phenomenon shows the role of the protein-additive interaction in tuning the phase separation temperature of the protein solution. Furthermore, the partitioning of HSA (or any other excipient) also depends on the energy of MAB-additive interaction. In a special case of ${}_{QpE}MAB$, a minor antibody isoform, we have given a further analysis of the relative partitioning, and conclude that the ${}_{QpE}MAB$ - ${}_{pEpE}MAB$ attraction is stronger than the ${}_{pEpE}MAB$ - ${}_{pEpE}MAB$ attraction.

This investigation along with other recent findings (5–9) suggests that LLPS may be a ubiquitous phenomenon in antibody solutions. This fact is of obvious importance for biotechnological and pharmaceutical applications and for understanding the origin of cryoglobulinemia which is a condition observed in a number of human diseases. The present work provides a conceptual experimental and theoretical framework for further studies in this emerging field.

Materials and Methods

Preparation and Purification of MAb and HSA. MAb, IgG2-A, was produced at Amgen Inc. The original MAB solution contained ${}_{QpE}MAB$ and ${}_{pEpE}MAB$ isoforms corresponding to partial and complete cyclization of the heavy chain N termini (Fig. S3 in *SI Appendix*). The two isoforms were identified by peptide mapping coupled with mass-spectrometry (performed at Amgen Inc.). HSA was purchased from Sigma. The dimers and oligomers of HSA were removed using a preparative chromatographic system (AKTA prime plus, Amersham Biosciences) and a size-exclusion column (Superdex 200, GE Healthcare).

Solution Preparation. The purified MAb and HSA proteins were dialyzed exhaustively into Tris-HCl buffer (0.1 M, pH 7.4). Solutions containing dilute MAB and HSA in Tris-HCl buffer were concentrated by ultrafiltration (Amicon, 10 kDa) and Centrifugation (Amicon Ultra, 10 kDa). The concentrations of MAB and HSA in the mixture solutions were determined using HPLC with a CEX column (wide pore CBx 5 μ , J.T.Baker). The column was equilibrated with 20 mM potassium phosphate buffer and eluted with 0 to 100% 500 mM potassium phosphate over 30 min at pH 6. This column was precalibrated with standard MAB and HSA solutions respectively. The concentrations of standard solutions were measured by an UV spectrometer at 280 nm using the extinction coefficient value of $1.48 \text{ mg}^{-1} \cdot \text{mL} \cdot \text{cm}^{-1}$ for MAB and $0.52 \text{ mg}^{-1} \cdot \text{mL} \cdot \text{cm}^{-1}$ for HSA (www.expasy.org). The ratios of ${}_{QpE}MAB$ to ${}_{pEpE}MAB$ in solutions were determined using a CEX HPLC method described in refs. 5, 17. The ratios of ${}_{QpE}MAB$ to ${}_{pEpE}MAB$ were calculated from the integrated peak areas using 280 nm detection.

Measurement of T_{ph} . A test tube containing the sample was placed in a thermostated light-scattering stage, whose temperature was initially set above the phase separation temperature so that the solution was transparent. The transmitted intensity of a 4-mW He-Ne laser was recorded by a photodiode. The temperature of the sample was then step wise lowered by 0.1 K every 5 min. At a well defined temperature, T_{cloud} , the sample became visibly cloudy. The temperature was then step wise raised by 0.1 K every 5 min. The minimum temperature at which the solution became clear again was denoted by $T_{clarify}$. The phase separation temperature T_{ph} is estimated as

the average of T_{clarify} and T_{cloud} . The difference between T_{cloud} and T_{clarify} is hysteresis which reflects the nucleation rate (19). Because hysteresis depends on kinetic processes, all the cooling and heating steps were set with a standard time interval (5 min).

Measurement of MAb-HSA Partitioning. The solutions having known c_1 and c_2 were quenched to a temperature below T_{ph} in a thermostated water bath. After an incubation time of one week, a sharp interface formed between two liquid phases. The formation of the sharp interface was taken as an indication that equilibrium was reached. The MAb and HSA in both phases were separated and their concentrations were measured using precalibrated CEX HPLC at pH 6.

QLS. All protein samples were filtered through a 0.1 μm Millipore filter and placed in a test tube. QLS experiments were performed on a light-scattering apparatus using a PD2000DLS^{PLUS} correlator (Precision Detectors) and a

Coherent He-Ne laser (35 mW, 632.8 nm; Coherent Radiation). The measurements were performed at a scattering angle of 90° . The measured correlation functions were analyzed by the Precision Deconvolve 5.5 software (Precision Detectors). The correlation functions were used to calculate the apparent diffusion coefficients, D , of proteins in solutions with given total protein concentration, c , at different HSA weight fraction, $w = 0\%$, 30% , and 100% . $D(c = 0)_w$ were obtained by extrapolating $D(c)_w$ to $c = 0$. The hydrodynamic radii, R_h 's, of proteins in solutions with fixed w were calculated from $D(c = 0)_w$ using Stokes-Einstein relation.

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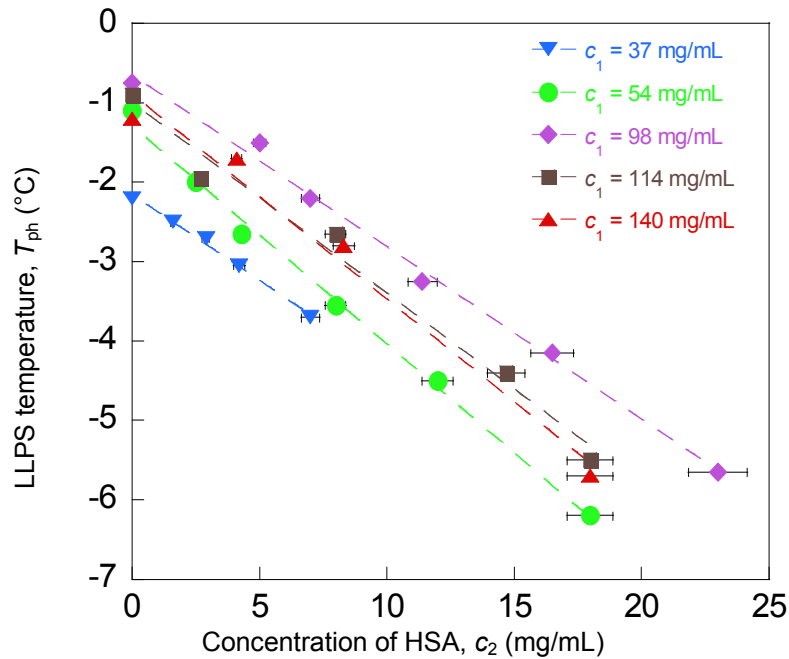


Fig. S1. The LLPS temperature, T_{ph} , versus the HSA concentration, c_2 , at fixed MAb concentration, c_1 . Linear fitting of T_{ph} vs c_2 at each c_1 is shown by dashed lines.

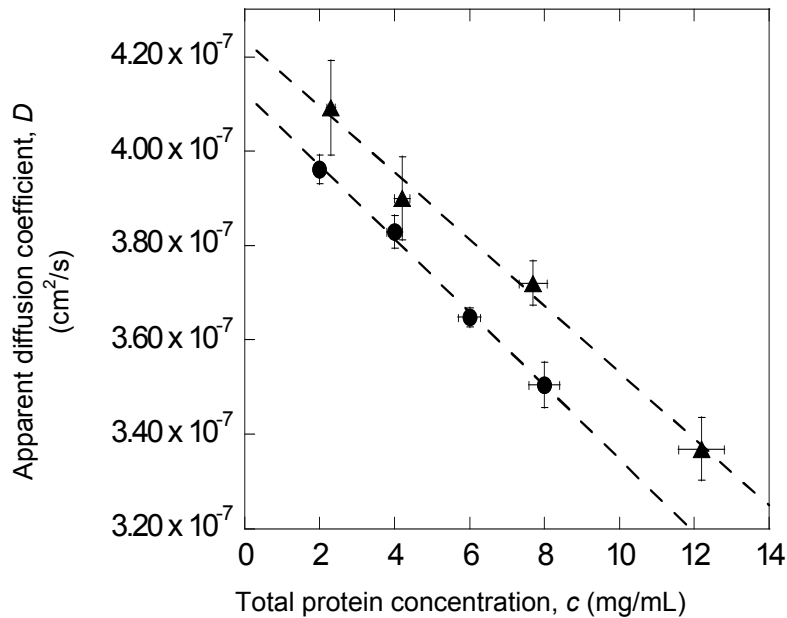


Fig. S2. Apparent diffusion coefficients, D , of pure MAb solutions (circles) and 30% (w/w HSA) MAb-HSA mixture solutions (triangles) as a function of total protein concentration, c , in 0.1 M Tris-HCl buffer at pH 7.4 measured by QLS. The dashed lines are linear fits given by: $D = (1 - 0.020c) \cdot 4.12 \times 10^{-7}$ for pure MAb solutions; $D = D_0 (1 - 0.017c) \cdot 4.23 \times 10^{-7}$ the mixture solutions.

Partitioning of $Q_{pE}MAb$ and $pEpEMAb$:

Our IgG2-A samples were in fact a mixture of two species: $Q_{pE}MAb$, antibody with partially cyclized heavy chain N-termini (i.e., with glutamine (Q) at one of the Fab domains and pyroglutamate (pE) at another); and $pEpEMAb$, antibody with pyroglutamates at N-termini of both Fab domains. The CEX retention time difference between $Q_{pE}MAb$ and $pEpEMAb$ results from the abolition of a single negative charge in $Q_{pE}MAb$ (see Fig.S3). The ratio of the concentrations of $Q_{pE}MAb$ to $pEpEMAb$ in original samples is 0.302 ± 0.001 . Table S1 below shows that, in the partitioning measurements at -4.2 °C, $Q_{pE}MAb$ preferentially partitions into the protein-rich phase as compared to $pEpEMAb$.

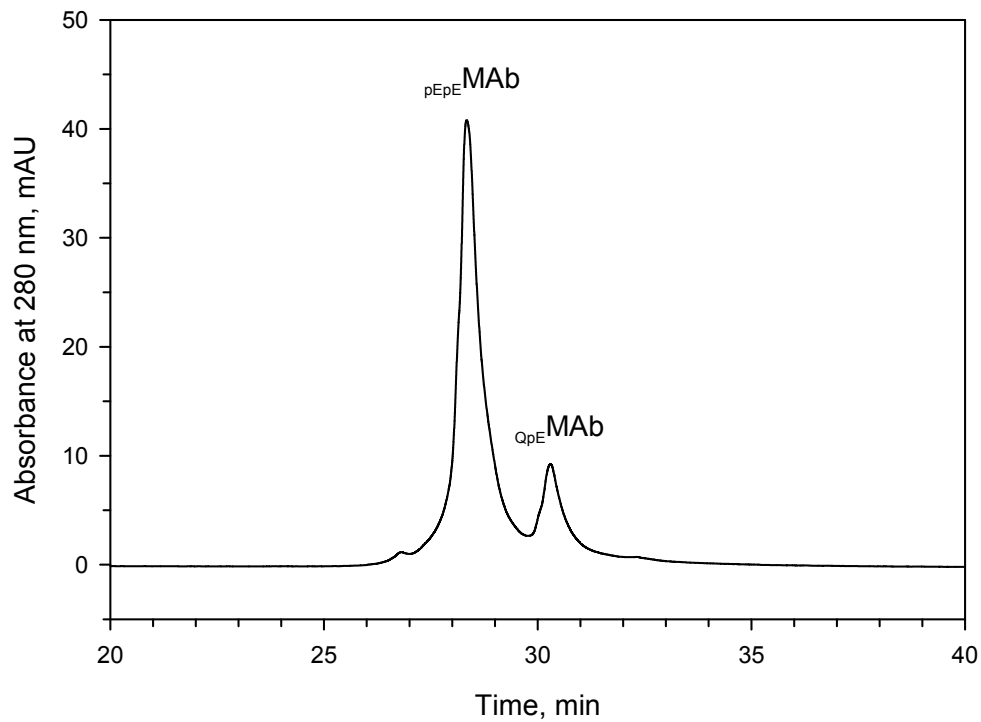


Fig. S3. A representative of CEX chromatogram of IgG2-A. The abolition of a negative charge in $Q_{pE}MAb$ results in its late elution compared to $pEpEMAb$.

Sample	1		2		3	
Phase	I	II	I	II	I	II
HSA (mg/mL)	0	0	7.9	11.1	9.2	12.5
MAb (mg/mL)	30	234	42	205	44	192
$_{QpE}MAb : _{pEpE}MAb$	0.276	0.307	0.289	0.313	0.279	0.311

Table S1. Concentrations of total MAb and HSA and the ratio of $_{QpE}MAb : _{pEpE}MAb$ in protein-poor (I) and protein-rich (II) phases in pure MAb solutions and in two MAb/HSA mixtures at $T_{ph} = -4.2$ °C.

Thermodynamic expression of the change in phase separation temperature upon addition of small molar fraction of a second solution component:

In this section, we will derive a general expression for the change in phase separation temperature $\Delta T_{ph}(\phi_1)$ at a fixed volume fraction of primary solute 1, ϕ_1 , upon addition of small amount of solute 2. In our experiments MAb is solute 1 and HSA is solute 2. In a two-solute mixture, if the second component mole fraction is small: i.e. $x=N_2/N_1 \ll 1$, the chemical potential of solute 1 is given by a general formula (1): $\mu_1 = \mu_1^0(\Pi, T) - kTx$. For a solution of pure solute 1, the equilibrium condition of LLPS is: $\mu_1^{0I}(\Pi, T_{ph}) = \mu_1^{0II}(\Pi, T_{ph})$. In the presence of solute 2, a new equilibrium is reached at $\Pi + \Delta\Pi$ and $T_{ph} + \Delta T_{ph}$, and thus $\mu_1^{0I}(\Pi + \Delta\Pi, T_{ph} + \Delta T_{ph}) - kTx^I = \mu_1^{0II}(\Pi + \Delta\Pi, T_{ph} + \Delta T_{ph}) - kTx^{II}$. Expanding μ_1^{0I} and μ_1^{0II} in this equation with respect to Π and T_{ph} and applying the condition: $\mu_1^{0I}(\Pi, T_{ph}) = \mu_1^{0II}(\Pi, T_{ph})$, we obtain:

$$(v^I - v^{II})\Delta\Pi - (s^I - s^{II})\Delta T_{ph} - kT_{ph}(x^I - x^{II}) = 0 \quad [S1]$$

Here, $v = V/N_1 = \partial\mu_1^0/\partial\Pi$ is the solution volume per molecule 1; $s = S/N_1 = -\partial\mu_1^0/\partial T$ is the entropy per molecule 1; and $x=N_2/N_1$ is the molar fraction of solute 2. Eq.[S1] describes how addition of solute 2 is related to changes in the phase transition temperature and pressure. In the absence of solute 2, $x=0$, Eq.[S1] reduces to the Clausius-Clapeyron equation for the variation of the pressure with temperature along the coexistence curve:

$$d\Pi/dT_{ph} = (s^I - s^{II})/(v^I - v^{II}) \quad [S2]$$

Here, we are interested in the change in T_{ph} at a constant ϕ_1 . Noting that pressure can generally be considered a function of ϕ_1 , x and T , we can write the change of pressure as: $\Delta\Pi = (\partial\Pi/\partial\phi_1)\Delta\phi_1 + (\partial\Pi/\partial T)\Delta T + (\partial\Pi/\partial x)\Delta x$. Under the conditions we want to apply in Eq.[S1], $\Delta\phi_1 = 0$, $\Delta T = \Delta T_{ph}$ and $\Delta x = x$ where x is either x^I or x^{II} and ΔT_{ph} is either $\Delta T_{ph}(\phi_1^I)$ or $\Delta T_{ph}(\phi_1^{II})$ depending on which phase is considered. Under these conditions: $\Delta\Pi = (\partial\Pi/\partial T)\Delta T_{ph} + (\partial\Pi/\partial x)x$. If we now substitute this expression for $\Delta\Pi$ into Eq.[S1], we obtain:

$$\left[\frac{s^I - s^{II}}{v^I - v^{II}} - \frac{\partial\Pi}{\partial T} \right] \Delta T_{ph} = -kT_{ph} \frac{x^I - x^{II}}{v^I - v^{II}} + \frac{\partial\Pi}{\partial x} x \quad [S3]$$

Using Eq.[S2], the bracketed term in Eq.[S3] becomes: $d\Pi/dT_{ph} - \partial\Pi/\partial T$. The change of the osmotic pressure with temperature along the coexistence curve can be written as: $d\Pi/dT_{ph} = \partial\Pi/\partial T + (\partial\Pi/\partial\phi_1)/(dT_{ph}/d\phi_1)$. Thus, the bracketed term in Eq.[S3] is equal to $(\partial\Pi/\partial\phi_1)/(dT_{ph}/d\phi_1)$. On the right side of Eq.[S3], $x = N_2/N_1 = (\phi_2/\phi_1)(\Omega_1/\Omega_2)$ and $v = V/N_1 = \Omega_1/\phi_1$, where Ω_1 and Ω_2 are the molecular volume of solutes 1 and 2 respectively. Therefore, Eq.[S2] becomes:

$$\Delta T_{ph} = \frac{dT_{ph}/d\phi_1}{\partial\Pi/\partial\phi_1} \left[-\frac{kT_{ph}(\phi_2^I/\phi_1^I - \phi_2^{II}/\phi_1^{II})}{\Omega_2(1/\phi_1^I - 1/\phi_1^{II})} + \frac{\partial\Pi}{\partial\phi_2} \phi_2 \right] \quad [S4]$$

This is the result given as Eq.[1] in the main text. It connects ΔT_{ph} to the properties of pure solute 1 system (the term before the brackets) and the effect of solute 2 (the term in the brackets). In this equation, we can see that the effect of solute 2 consists of two components: the partitioning part (the first term in the brackets) reflects the reaction of the system to the perturbation in the balance of chemical potentials μ_1 in the coexisting phases upon addition of solute 2; the incompressibility part (the second term in the brackets) reflects the perturbation in the balance of osmotic pressures Π .

It is interesting to consider two limiting cases when the effect of addition of solute 2 is straightforward. In the first case, let us consider non-interacting point-like solute 2. We do not expect any changes in the coexistence curve in this case. Indeed, this ideal solute 2 will partition equally into the volumes accessible to it in each phase, $V_{eff} = V - b\Omega_1 N_1$, where $b\Omega_1$ is the effective excluded volume per molecule 1. That is to say: $\phi_2/(1 - b\phi_1)$ is the same in both phases, and consequently the first bracketed term in Eq.[S4] becomes equal to $-kT_{ph}\phi_2/(1 - b\phi_1)\Omega_2$. Furthermore, since solute 2 is an ideal solute in V_{eff} , its partial pressure is $kT_{ph}N_2/V_{eff}$ and thus

the second bracketed term in Eq.[S4] cancels the first term. Therefore, $(\Delta T_{ph})_{\phi_1} = 0$ as expected. In the second case, let us consider solute 2 being essentially identical to solute 1. The effect of addition of such solute should be simple replacement of $T_{ph}(\phi_1)$ with $T_{ph}(\phi_1 + \phi_2)$. In the limit of small ϕ_2 , $(\Delta T_{ph})_{\phi_1} = T_{ph}(\phi_1 + \phi_2) - T_{ph}(\phi_1) = (\partial T_{ph} / \partial \phi_1) \phi_2$. Indeed, in this case ϕ_2 / ϕ_1 must be the same in both phases and the partitioning term in Eq.[S4] is zero. The osmotic incompressibilities $\partial \Pi / \partial \phi_1$ and $\partial \Pi / \partial \phi_2$ are the same and cancel each other. Consequently, $(\Delta T_{ph})_{\phi_1} = (\partial T_{ph} / \partial \phi_1) \phi_2$, as expected.

Monte Carlo simulation on the free volume of HSA in a solution of MAb:

To evaluate the volume, $V_{\text{eff}} = \alpha V$, accessible to an HSA molecule in a solution of MAb, we use a simple three-sphere model for the Y-shaped MAb molecule. In this model, the F_c domain and the two F_{ab} domains are represented by three spheres, whose radii and centers are chosen so as to reasonably represent the geometry of MAb molecules insofar as their excluded volume effects are concerned. The HSA molecule is modeled as a single sphere. These models are shown in Fig.S4.

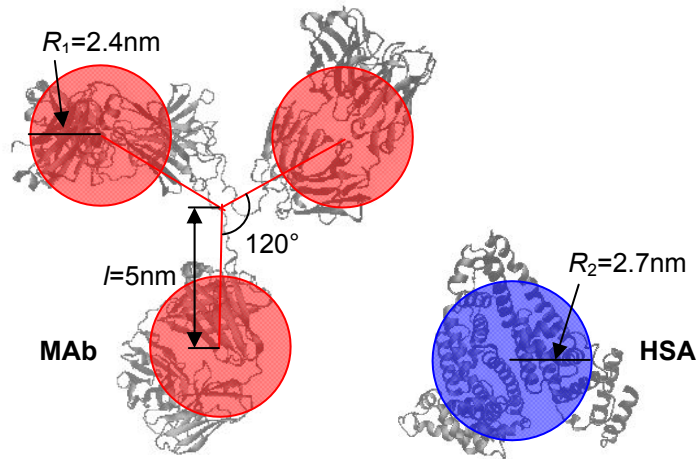


Fig. S4. The three-sphere model for a MAb molecule and the single sphere model for an HSA molecule superimposed on the X-ray structures of an IgG (DOI: 10.2210/pdb1IGT/pdb) and HSA (DOI: 10.2210/pdb1E7B/pdb), respectively. The radii of spheres in the MAb model, $R_1 = 2.4$ nm, and that in the HSA model, $R_2 = 2.7$ nm, are calculated using their molecular weights $M_1 = 150875$ g/mol and $M_2 = 66472$ g/mol, as well as the specific volume for proteins, $v_{\text{sp}} = 0.71$ mL/g. In this three-sphere model of MAb, the distance between the center of each sphere and the center of the molecule is assigned to be 5 nm according to the X-ray structure of IgG2-A.

Using these simple models for MAb and HSA, we have conducted Monte-Carlo simulations to calculate the free volume fraction, α , for a HSA molecule in a MAb solution as a function of MAb volume fraction, ϕ_1 . The simulations were conducted in a high temperature approximation, i.e. only excluded volume effects were taken into account and energetic interactions were ignored. Briefly, the system consisting of 2000 model MAb molecules at a desired volume fraction ϕ_1 was equilibrated over 10^6 Monte Carlo steps, and then the probability, i.e. α , of a successful placement of a model HSA molecule at a random location was measured using 10^6 attempts to place a HSA molecule. The result is presented in Fig.S5 for ϕ_1 from 0 to 0.142 (corresponding to a MAb concentration from 0 to 200 mg/mL). This simulation result can be fitted using a quadratic equation: $\alpha = 1 + A\phi_1 + B\phi_1^2$, where $A = -7.60$ and $B = 15.6$. The value of coefficient A reflects both the core volume and the “depletion layer” around each MAb molecule inaccessible to the center of a HSA molecule. The positive value of the coefficient B of the second order term of ϕ_1 takes into account the overlap of the depletion layers as ϕ_1 increases.

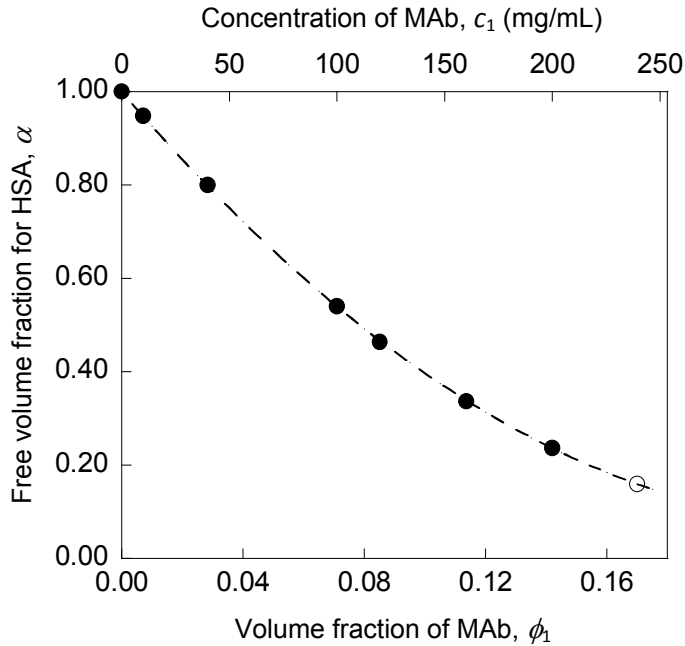


Fig. S5. Free volume fraction α for a HSA molecule as a function of volume fraction of MAb, ϕ_1 . The solid circles are the data points from Monte Carlo simulation which were carried out in the range of concentrations used in experiments. The dashed line is the quadratic fitting of the simulation data. The open circle is the extrapolation to our highest experimental concentration of MAb using the quadratic fitting equation. The values of α at high MAb concentration, $c_1 > 200\text{mg/mL}$, are difficult to determine by simulation due to the long equilibration time in the “gel-like” solution.

Evaluation of the energy of MAb-HSA interaction by considering the reduction of phase separation temperature upon addition of HSA:

As shown in the discussion section, Eq.[1], the non-ideal contribution of HSA to the osmotic incompressibility $\partial(E_{12}/kT_{ph} - \ln \alpha)/\partial\phi_1$, must be negative at $\phi_1 < \phi_c$, and positive at $\phi_1 > \phi_c$, and therefore must be zero in the vicinity of the critical point. Using the $\alpha(\phi_1)$ determined by simulation, we calculated $\partial(-\ln \alpha)/\partial\phi_1$, and found it to be equal to 10 at the critical volume fraction, $\phi_c=0.063$. Thus, in the vicinity of the critical point, $\partial(E_{12}/kT_{ph})/\partial\phi_1 = -10$. In the mean field approximation, $E_{12}(\phi_1) = \varepsilon_{12}\phi_1$, and thereby $\varepsilon_{12}/kT_{ph} = -10$. In Fig. S6, we plot both $\partial(-\ln \alpha)/\partial\phi_1$ and the $\varepsilon_{12}/kT_{ph} + \partial(-\ln \alpha)/\partial\phi_1$, which is consistent with experimentally observed downward shift of the whole coexistence curve, as a function of ϕ_1 .

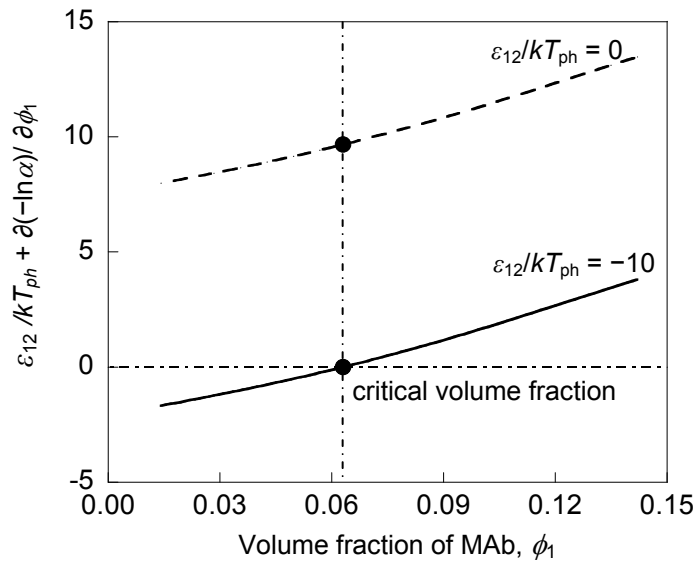


Fig. S6. The non-ideal contribution of HSA to the osmotic incompressibility, $\partial(E_{12}/kT_{ph} - \ln \alpha)/\partial\phi_1$, as a function of ϕ_1 in the mean field approximation with ε_{12}/kT_{ph} equal to 0 and -10. The quantity $\partial(-\ln \alpha)/\partial\phi_1$ is evaluated using Monte Carlo simulation. The circles mark the position of critical volume fraction.

Reference:

- S1. Landau LD, Lifshitz EM, & Pitaevskii LP (1980) *Statistical physics* (Pergamon Press, Oxford ; New York) 3d rev. and enl. Ed.