

# **L15: Transactions**

Sam Madden  
6.033, Spring 2014

# Bank account transfer

xfer(bank, a, b, amt):

bank[a] = bank[a] - amt

bank[b] = bank[b] + amt

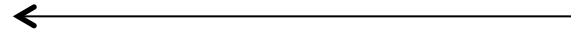
# Bank account transfer

xfer(bank, a, b, amt):

bank[a] = bank[a] - amt

bank[b] = bank[b] + amt

**Crash!**



# Bank account transfer

xfer(bank, a, b, amt):

bank[a] = bank[a] - amt

bank[b] = bank[b] + amt

**Crash!**



**Lost \$amt**

# Transfer w/ Audit

$A = 100$

$B = 100$

$xfer(A, B, 50)$

`xfer(bank, a, b, amt):`

`bank[a] = bank[a] - amt`

`bank[b] = bank[b] + amt`

`audit(bank):`

`sum = 0`

`for acct in bank:`

`sum = sum + bank[acct]`

`return sum`

# Transfer w/ Audit

$A = 100$

$B = 100$

$xfer(A, B, 50)$

*audit(bank):*

*xfer(bank, a, b, amt):*

$bank[a] = bank[a] - amt$

$bank[b] = bank[b] + amt$

← *sum=200*

*audit(bank):*

$sum = 0$

for acct in bank:

$sum = sum + bank[acct]$

return sum

# Transfer w/ Audit

$A = 100$   
 $B = 100$   
 $xfer(A, B, 50)$

$xfer(\text{bank}, a, b, \text{amt}):$

$\text{bank}[a] = \text{bank}[a] - \text{amt}$

$\text{bank}[b] = \text{bank}[b] + \text{amt}$

$audit(\text{bank}):$

←  $sum = 200$

←  $sum = 200$

$audit(\text{bank}):$

$sum = 0$

for  $acct$  in  $bank$ :

$sum = sum + \text{bank}[acct]$

return  $sum$

# Transfer w/ Audit

$A = 100$   
 $B = 100$   
 $xfer(A, B, 50)$

```
xfer(bank, a, b, amt):  
    bank[a] = bank[a] - amt  
    bank[b] = bank[b] + amt
```

*audit(bank):*

```
← sum=200  
← sum=150  
← sum=200
```

```
audit(bank):  
    sum = 0  
    for acct in bank:  
        sum = sum + bank[acct]  
    return sum
```



# Transfer w/ Audit

$A = 100$   
 $B = 100$   
 $xfer(A, B, 50)$

```
xfer(bank, a, b, amt):  
    bank[a] = bank[a] - amt  
    bank[b] = bank[b] + amt
```

*audit(bank):*

← *sum=200*  
← *sum=150*  
← *sum=200*

```
audit(bank):  
    sum = 0  
    for acct in bank:  
        sum = sum + bank[acct]  
    return sum
```

# Two goals

- Want “all or nothing” atomicity for complex operations in the presence of crashes
- Want serial equivalence
  - Concurrent operations are isolated from each other
  - Never see each other’s intermediate state

# Abstraction: transactions all-or-nothing & isolation

T1:

**begin**

transfer(A,B,20)

debit(B,10)

...

**end**

T2:

**begin**

transfer(B,C,5)

deposit(A,5)

...

**end**

# Atomic Transfer: Strawman

```
xfer(bankfile, a, b, amt):  
    bank = read_accounts(bankfile)  
    bank[a] = bank[a] - amt  
    bank[b] = bank[b] + amt  
    write_accounts(bankfile)
```

# Atomic Transfer: Shadow Copy

```
xfer(bankfile, a, b, amt):
```

```
    bank = read_accounts(bankfile)
```

```
    bank[a] = bank[a] - amt
```

```
    bank[b] = bank[b] + amt
```

```
    write_accounts("#.bankfile) //. = concat
```

```
    rename("#.bankfile, bankfile)
```

# File system data structures

directory entries (aka dirents):

filename "bank" → inode 12

filename "#bank" → inode 13

inode 12:

data blocks: 3, 4, 5

refcount: 1

inode 13:

data blocks: 6, 7, 8

refcount: 1

# **rename("#bank", "bank")**

directory entries:

filename "bank" → inode 12

filename "#bank" → inode 13

inode 12:

data blocks: 3, 4, 5

refcount: 1

inode 13:

data blocks: 6, 7, 8

refcount: 1

# rename("#bank", "bank")

directory entries:

filename "bank" → inode 12

filename "#bank" → inode 13

inode 12:

data blocks: 3, 4, 5

refcount: 1

inode 13:

data blocks: 6, 7, 8

refcount: **2**



# rename("#bank", "bank")

directory entries:

filename "bank" → inode **13**

filename "#bank" → inode 13

inode 12:

data blocks: 3, 4, 5

refcount: 1

inode 13:

data blocks: 6, 7, 8

refcount: **2**

# rename("#bank", "bank")

directory entries:

filename "bank" → inode **13**

filename "#bank" → inode 13

inode 12:

data blocks: 3, 4, 5

refcount: **0**

inode 13:

data blocks: 6, 7, 8

refcount: **2**

# rename("#bank", "bank")

directory entries:

filename "bank" → inode 13

filename "#bank" → inode 13

inode 12:

data blocks: 3, 4, 5

refcount: 0

inode 13:

data blocks: 6, 7, 8

refcount: 2

# rename("#bank", "bank")

directory entries:

filename "bank" → inode **13**

filename "#bank" → inode 13

inode 12:

data blocks: 3, 4, 5

refcount: **0**

inode 13:

data blocks: 6, 7, 8

refcount: **1**

# Recovery after crash

```
salvage(disk):  
    for inode in disk.inodes:  
        inode.refcnt =  
            find_all_refs(disk.root_dir, inode)  
  
    if exists("#bank"):  
        unlink("#bank")
```